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Approach to patients with secondary spontaneous pneumothorax: Our clinical experience

Sekonder spontan pnömotorakslı hastalara yaklaşım: Klinik deneyimimiz

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SUMMARY

Objective: In this study, our aim is to analyze the etiological causes and treatment methods of patients with secondary spontaneous pneumothorax treated in our hospital.

Method: We retrospectively evaluated the data of 152 patients who were treated for Secondary spontaneous pneumothorax between January 2013 - December 2017. All patients diagnosed with SSP were included in the study. The patients were examined in terms of age, gender, current lung disease, smoking, symptoms, imaging findings, localization of pneumothorax, pneumothorax rate, treatment methods, surgical indications, length of hospitalisation and mortality rate.

Results: One hundred and twenty eight (84.2%) of 152 patients were men, 24 were women (15.8%) and their mean age was 66 ± 12.4 (45–98). The most common symptoms were dyspnoea in 114 (75%) patients, chest pain in 85 (55.9%) patients and cough in 26 (17.1%) patients. The most common comorbid pathology was Chronic obstructive pulmonary disease (COPD) in 39.5% patients. Tube thoracostomy was performed in 6 (40%) of 15 (9.9%) patients who were monitored with oxygen therapy. While 112 (73.7%) patients were treated with tube thoracostomy only, 36 (32.1%) of them who were inoperable were treated with pleurodesis by tube thoracostomy. Thirty one (20.4%) patients were treated surgically, of which video-assisted thoracoscopic was performed in 17 (54.8%) and thoracotomy in 14 (45.2%). The majority of our surgical indications were prolonged air leak and recurrent pneumothorax.

Conclusions: Despite the great advances in surgical techniques in thoracic surgery in recent years, a standard treatment protocol has not been established in the treatment of SSP. While pneumothorax can be treated with only chest tube and/or pleurodesis in most patients, morbidity and mortality may increase with surgical treatment. Therefore, surgical treatment should be avoided as much as possible.

Keywords: Pleurodesis, spontaneous pneumothorax, tube thoracostomy.



Amaç: Bu çalışmada amacımız hastanemizde tedavi edilen Sekonder spontan pnömotorakslı (SSP) hastaların etiyolojik nedenlerini ve tedavi metodlarını incelemektir.



ORCID IDs of the authors: M.A.T. 0000-0002-2642-187X İ.E.Ö. 0000-0003-2722-5309 **Yöntem:** Ocak 2013-Aralık 2017 tarihleri arasında Sekonder spontan pnömotoraks_tanısıyla tedavi edilen 152 hastanın verileri retrospektif olarak değerlendirildi. Hastalar yaş, cinsiyet, mevcut akciğer hastalığı, sigara kullanımı, semptomlar, görüntüleme bulguları, lokalizasyon, pnömotoraks oranı, tedavi yöntemleri, cerrahi endikasyonlar, yatış süreleri ve mortalite oranı yönünden incelendi.

Bulgular: Yüz elli iki hastanın 128'i (% 84.2) erkek, 24'ü (%15.8) kadın, ortalama yaş 66 ± 12.4 (45-98) idi. En sık semptomları nefes darlığı 114 (%75), göğüs ağrısı 85 (%55.9) ve öksürük 26 (%17.1) idi. En sık komorbid hastalık kronik obstrüktif akciğer hastalığı (%39.5) idi. Yüz on iki (%73.7) hasta sadece tüp torakostomi ile tedavi edilirken, bunların opere edilemeyecek durumdaki 36'sına (%32.1) tüp torakostomi yoluyla plöredezis yapıldı. Otuz bir (%20.4) hastaya cerrahi tedavi uygulandı. Cerrahi yötemin 17'si (%54.8) video yardımlı torakoskopi, 14'ü (%45.2) torakotomi idi. Cerrahi endikasyonlarımızın büyük çoğunluğu uzamış hava kaçağı ve nüks pnömotoraks idi.

Sonuç: Son yıllarda göğüs cerrahisinde cerrahi tekniklerde büyük gelişmelere rağmen SSP tedavisinde standart bir tedavi protokolü oluşturulamamıştır. Hastaların çoğunda sadece göğüs tüpü ve/veya plörodezis ile pnömotoraks tedavi edilebilecek iken, cerrahi tedavi ile morbidite ve mortalite yükselebilmektedir. Bu nedenle cerrahi tedaviden mümkün olduğunca uzak durulmalıdır.

Anahtar sözcükler: Plöredez, spontan pnömotoraks, tüp torakostomi.

INTRODUCTION

Pneumothorax that develops without any trauma is defined as spontaneous pneumothorax (SP) ^{1–3}. It is further classified into two types, i.e. primary and secondary. While there is no pathology that clinically affects the lungs in primary SP, there is an underlying lung disease in secondary SP (SSP), which is the most common cause of chronic obstructive pulmonary disease (COPD) ^{1,4}. Patients with SSP usually have a low respiratory reserve due to an underlying chronic lung disease and require urgent diagnosis and treatment due to the high mortality rate.

The purpose of this study was to evaluate the aetiology, clinical features and treatment methods for patients with SSP treated in our clinic in the light of literature data.

MATERIAL AND METHODS

The study was approved by the Local Ethics Committee (Date: 19.06.2018 / No: 209) and conducted in accordance with the principles of the Declaration of Helsinki. Data on patient files retrieved from the hospital information management system or archive were analyzed retrospectively.

We retrospectively evaluated the data of 152 patients who were treated for SSP between January 2013 - December 2017. All patients diagnosed with SSP were included in the study. The patients were examined in terms of age, gender, current lung disease, smoking, symptoms, imaging findings, localization of pneumothorax, pneumothorax rate, treatment methods, surgical indications, length of hospitalisation and mortality rate.

Chest radiography was performed for all patients. In order to determine the aetiology, chest Computerised Tomography (CT) was performed in all patients before tube thoracostomy procedure or after the lung was expanded. The extent of pneumothorax was calculated in percentage by the method defined by Kircher and Swartzel ⁵. Classification was made based on pneumothorax size: partial (small or moderate pneumothorax, <50%) and total (large pneumothorax, $\geq50\%$). Monitoring and oxygen therapy were performed when the pneumothorax rate was 10% or less, and tube thoracostomy was performed when it was above 10%. Surgery was performed for patients with prolonged air leak (more than 7-10 days) or recurrence. Video-assisted thoracoscopic surgery (VATS) and thoracotomy were performed as part of surgical treatment.

Statistical analyses were performed using SPSS software for Windows version 22.0. The numerical values were expressed as mean \pm standard deviation (mean \pm SD), and categorical values were expressed as median \pm minimum maximum (median \pm min-max). The normal distribution of the parameters was evaluated by the Kolmogorov–Smirnov test. In the comparison of quantitative data, one-way Anova test was used for comparing parameters with normal distribution and Mann–Whitney U-test was used for comparison of quantitative data. P <0.05 was considered to indicate statistical significance.

RESULTS

One hundred and twenty eight (84.2%) of 152 patients were men, 24 were women (15.8%) and their mean age was 66 ± 12.4 (45–98). The most common symptoms were dyspnoea in 114 (75%) patients, chest pain in 85 (55.9%) patients and cough in 26 (17.1%) patients. The most common comorbid pathology was COPD in 60 (39.5%) patients. Other pathologies included bullous lung disease, cancer (primary/metastatic), pneumonia,

tuberculosis and silicosis (Table 1). Ninety-six (63.2%) patients with SSP were smokers. Mean cigarette use was 27.4 ± 2.28 packs/year. Right pneumothorax was observed in 62 (40.8%) patients, left in 88 (57.9%) patients and bilateral in 2 (1.3%) patients. Eighty six (56.6%) patients had partial pneumothorax and 66 (43.4%) patients had total pneumothorax.

Tube thoracostomy was performed in 6 (40%) of 15 (9.9%) patients who were monitored with oxygen therapy. While 112 (73.7%) patients were treated with tube thoracostomy only, 36 (32.1%) of them who were inoperable were treated with pleurodesis by tube thoracostomy. Among the patients who underwent pleurodesis by tube thoracostomy, autologous venous blood patch was

used in 7 (19.4%) and talc was used in 29 (80.6%). Thirty one (20.4%) patients were treated surgically, of which VATS was performed in 17 (54.8%) and thoracotomy in 14 (45.2%). The majority of our surgical indications were prolonged air leak and recurrent pneumothorax.

Surgical treatment modalities and details are presented in Table 2. The mean hospitalisation period of the patients treated by tube thoracostomy was 7.57 ± 5.56 days, while the hospitalisation period of the patients who underwent surgery was 14.2 ± 8.58 days. Four (2.6%) patients experienced hospital mortality. All of them were patients who underwent tube thoracostomy (2 patients with lung cancer, 2 patients with COPD). There was no mortality following surgery.

Table 1:	Patients'	demographic	datas
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Data	n (%)	
Number of patients	152	
Age (years)		
Median	66 ± 12.4	
Range	45-98	
Gender		
Men	128 (84.2%)	
Women	24 (15.8%)	
Symptoms		
Dyspnea	114 (75%)	
Chest pain	85 (55.9%)	
Cough	26 (17.1%)	
Etiologies		
COPD	60(39.5%)	
Bullous Emphysema	56 (36.9%)	
Cancer (Primary/metastatic)	18(11.8%)	
Tuberculosis	2(1.3%)	
Pneumonia/Empyema	14(9.2%)	
Silicosis	2(1.3%)	
Pneumothorax rate		
Partial	86(56.6%)	
Total	66(43.4%)	
Laterality		
Right	62(40.8%)	
Left	88(57.9%)	
Bilaterally	2(1.3%)	
LOS (days)		
Treatment with tube thoracostomy	7.57±5.56	
VATS/Thoracotomy	14.2 ± 8.58	
Hospital mortality	4 (2.6%)	

VATS: Video-assisted thoracoscopic surgery, COPD: Chronic obstructive pulmonary disease, LOS: Length of stay

Table 2: Treatment modalities in secondary spontaneous pneumothorax

Treatment	n	%
Observation (nasal oxygen)	9	5.9
Tube thoracostomy	76	50
Tube thoracostomy and pleurodesis	36	23.7
Blood patch	7	19.4
Talc	29	80.6
Surgery	31	20.4
Bullectomy and Pleurectomy	18	58.1
Bullae resection	8	25.8
Pleurectomy/Decortication	5	16.1

DISCUSSION

Spontaneous pneumothorax is a type of pneumothorax which develops without any trauma. Spontaneous pneumothorax is divided into two types, i.e. primary and secondary. Pneumothorax due to the perforation of subpleural blebs at the apex of the lung without any additional lung called primary spontaneous disease is pneumothorax (PSP) and pneumothorax due to an underlying disease in the lung is called secondary spontaneous pneumothorax (SSP). Chronic obstructive pulmonary disease with emphysema, cystic fibrosis, lung cancer, pneumocystis carinii pneumonia accompanied by HIV are the most diseases, underlying common whereas lymphangioleiomyomatosis, connective tissue diseases and histiocytosis are rarely observed ⁶⁻⁹. Chronic obstructive pulmonary disease is the most common cause of SSP. O'Rourke¹⁰ et al. found that 22 (48.9%) of 45 patients with SSP had COPD, and Weissberg and Refaely ¹¹ found that 348 (68%) of 550 patients with SSP had COPD. In an SSP series of 100 cases published in Turkey, COPD ranked first with a rate of 40%, while bullous lung ranked second with a rate of 25% 8.

The annual incidence of SSP is reported to be 6.3% in men and 2% in women per 100,000 people in the USA [9]. In a patient with COPD, the annual incidence of pneumothorax is approximately 26/100,000⁸. Physical examination findings of patients with COPD may not be helpful in the differential diagnosis of pneumothorax. Since these patients have limited lung capacity, respiratory distress may develop even in minimal pneumothorax. If a patient with COPD has increased respiratory distress and unilateral chest

pain, pneumothorax should be considered. The majority of patients with COPD are men and smokers and generally over the age of 45⁷. Similarly, in the patients in our study, COPD was the most common cause with a rate of 39.5%, followed by bullous lung with a rate of 36.9%. Other aetiological factors found in our study included primary lung/metastatic cancer, tuberculosis, pneumonia/empyema and silicosis.

It has been reported that the most common symptoms of SSP are dyspnoea and chest pain ⁸. Also, there may be symptoms, such as cough, bruising, fever, back pain, sweating, expectoration and weakness. In our study, dyspnoea was the most common symptom, followed by chest pain and cough.

It has been reported that the relapse rate of SSP is 40%-50%¹². It has been shown that adhesions are the cause of lower relapse rate of SSP than PSP. Risk factors for relapse in SSP are advanced age, lung fibrosis and emphysema ^{13, 14}. In our study, 11 (7.2%) patients had relapse.

In some publications, it was stated that pneumothorax was more common on the right, while in others there was no difference between right and left ¹⁵. In our study, pneumothorax was common on the left side with a rate of 57.9%.

Since the respiratory reserve is already low in patients with SSP due to underlying lung disease, the clinical picture is often severe and may be more severe than PSP. Radiological findings are fundamental in diagnosis. The visualisation of the pneumothorax line on posterior-anterior chest xray is usually sufficient for diagnosis. However, especially in patients with SSP, bullae should be kept in mind in differential diagnosis. Computerised tomography can be used in suspected cases.

Treatment of SSP should be planned according to the general condition of the patient, the initial or recurrent pneumothorax, the size of the pneumothorax and the underlying pulmonary disease. Possible treatment options in the treatment of pneumothorax include monitoring, aspiration with catheterisation, tube thoracostomy, pleurodesis, thoracoscopy and thoracotomy. The purpose of pneumothorax treatment is to evacuate the air accumulated in the pleural space and to prevent relapse ¹⁶.

Secondary spontaneous pneumothorax is more severe than clinical PSP and has a lower chance of success with simple aspiration (37% versus 75%)¹⁷. Therefore, patients with SSP should be hospitalised, and those who do not respond to medical treatment (nasal oxygen) should be treated with tube thoracostomy. Lung expansion should be supported by applying negative pressure to the chest tubes of patients with air leakage from the chest tube and/or those with expanded lungs.

In our study, nasal oxygen therapy was administered to 15 patients. Tube thoracostomy was performed in 6 patients who did not respond to oxygen therapy and had increased pneumothorax rate.

Pleurodesis with talc, tetracycline or autologous blood reduces the risk of relapse, especially in elderly patients with SSP who are not eligible for surgery. Chemical pleurodesis is used at the first attack or when relapse occurs in order to reduce relapses. The relapse rate of talc, which is the most effective agent in pleurodesis, has been reported to be 8% ¹⁸⁻²¹. British Thoracic Society recommends removal of the chest tube after lung re-expansion and cessation of air leak and pleurodesis in an unstoppable air leak or recurrent pneumothorax ⁸.

In our study, talc was used in 29 patients who were not eligible for surgery for various reasons, and blood pleurodesis was performed in 7 patients.

The most common indication for surgery is prolonged air leak. Schoenenberger et al. ²² reported that 34% of SSP cases required surgery due to prolonged air leak. Most clinicians advocate that surgical treatment is required if there is air leak for more than 7–10 days, whereas others state that the air leak will stop in 15 days and the surgery should not be rushed ²³.

In our study, the surgery rate was 20.4% (31 patients). VATS was performed in 17 (54.8%) patients and thoracotomy was performed in 14

(45.2%) patients. The most common indication for surgery in our study was prolonged air leak in 17 (54.8%) patients, followed by relapse in 11 (35.5%) patients and empyema in 3 (9.7%) patients. Surgical methods are controversial. In their study, Freixinet et al. ²⁴ compared axillary thoracotomy and VATS and reported that there was no difference in operation time, postoperative pain, complications and hospitalisation between the two techniques, and that they observed relapse in 2 VATS patients. The presence of adhesions in SSP can make VATS process difficult. Parenchyma opening and associated air leak may occur in the stapler line used ²⁵. Besides, VATS requires single lung ventilation and poses a risk in severe pulmonary disease. The higher relapse rate in VATS is attributed to the less tissue trauma and pleural adhesion formation with this technique. Standard thoracotomy should be avoided whenever possible while performing open surgery. It has been reported that axillary thoracotomy performed with muscle sparing, minimal incision and less stretching of the ribs is a preferable surgical technique⁸. In our study, muscle-sparing thoracotomy was preferred in our patients who underwent thoracotomy.

The most common surgical technique used in pneumothorax is pleurectomy or apical bullae-bleb resection 26 . In our study, bullectomy in addition to pleurectomy was performed in 18 (58.1%) patients, bullae resection was performed in 8 (25.8%) patients and pleurectomy/decortication was performed in 5 (16.1%) patients. No relapse was observed in any of the patients following surgery.

A complication rate of up to 30% has been reported following surgical treatment in SSP²⁷. In postoperative complications study, our (pneumonia in 1 patient and prolonged air leak in 5 patients) were observed in 6 (19.4%) patients. Pleurodesis was performed in patients with postoperative prolonged air leak. It has been reported that mortality rate may increase up to 50% in SSP. It is believed that this is due to underlying tuberculosis, lung cancer and pneumonia³. In our study, no mortality was observed following the operation. All 4 patients with mortality were those who underwent tube thoracostomy (2 patients with lung cancer and 2 patients with COPD).

CONCLUSION

Despite the great advances in surgical techniques in thoracic surgery in recent years, a standard treatment protocol has not been established in the treatment of SSP. While pneumothorax can be treated with only chest tube and/or pleurodesis in most patients, morbidity and mortality may increase with surgical treatment. Therefore, surgical treatment should be avoided as much as possible.

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All authors contributed to the design and implementation of the research, to the analysis of the results and to the writing of the manuscript. All authors discussed the results and contributed to the final manuscript.

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